



Office of the Provost and
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TO: Richard Koubek, President

FROM: Jacqueline E. Huntoon, Provost & Senior Vice President for Academic Affairs

Jacqueline E. Huntoon

DATE: June 7, 2022

SUBJECT: Senate Proposal 17-22

Attached is Senate proposal 17-22, "Institutional Compliance Standard Changes to Senate Procedure 803.1.1: Senate Search Procedures for the Dean of the Graduate School," and a memo stating the Senate passed this proposal at their April 6, 2022 meeting. I have reviewed this memo and recommend approving this proposal.

I concur X do not concur with the provost's recommendation as stated in this memo.

Richard Koubek, President

6/9/22

Date



Michigan Tech

University Senate

DATE: April 8, 2022
TO: Richard Koubek, President
FROM: Sam Sweitz
University Senate President
SUBJECT: Proposal 17-22
COPIES: Jacqueline E. Huntoon, Provost & Senior VP for Academic Affairs

At its meeting on April 6, 2022, the University Senate approved Proposal 17-22, “Institutional Compliance Standard Changes to Senate Procedure 803.1.1: Senate Search Procedures for the Dean of the Graduate School”. Feel free to contact me if you have any questions.

The University Senate of Michigan Technological University

Proposal 17-22

Amended 3-23-22

(Voting Units: All)

“Institutional Compliance Standard Changes to Senate Procedure 803.1.1: Senate Search Procedures for the Dean of the Graduate School”

Presented by: The Administrative Policy Committee

I. Introduction

Periodically University policies and procedures need to be updated to bring them into compliance with federal and state law.

II. Rationale

The changes that are requested to Senate procedure 803.1.1 are not substantive in nature, but are needed in order to meet federal and state compliance standards and the current policies and procedures of Human Resources and Institutional Equity.

II. Proposal

Revisions to the current policy are indicated below in blue and by strikethrough.

Revisions requested from HR and IER: Senate Search Procedures for the Dean of the Graduate School

Senate Procedures 803.1.1

1. Initiation

1.1 The Provost will initiate the search for Dean of the Graduate School and determine if the search will be internal or open to external candidates.

1.2 **Human Resources and** The Institutional Equity, ~~or other designees~~, will provide an orientation for the Search Committee at the onset of the search, **ensure all search committee members have completed the required search committee training**, and thereafter be available for consultation as needed. The Committee should discuss legal issues involved in record keeping and be informed of their legal responsibilities and liabilities. **As of the writing of this document, notes must be kept for three years. Notes**

must be relinquished to Human Resources at the end of the search. Human Resources will retain all information for the length of time required to ensure compliance with relevant State and Federal laws and University policies.

1.3 A Human Resources representative will be available to the Search Committee to organize paperwork, document activities, advertise the position, and provide search/screening guidelines.

1.4 The Position Authorization Form "Request for Posting" memo should be completed and sent to the Human Resources Office.

2. Search Committee

The search committee will consist of the following members:

CONSTITUENCY	ELECTING BODY	NUMBER OF REPRESENTATIVES
Faculty	Graduate Faculty Council	7
Faculty (at large)	University Senate	2
Administrator/Dean	Provost	1
Department Chair	Provost	1
Staff	Staff Council	1
Graduate Student	Graduate Student Council Government	1

3. Search Committee Selection Process and Meetings

3.1 No committee member can be an applicant.

3.2 The Provost will request the Graduate Faculty Council to elect all non at-large faculty representatives to the search committee. Such representatives must be from tenured or tenure-track ranks and be members of the graduate faculty. A total of seven faculty representatives shall be elected from the following schools and colleges:

College of Engineering	2
College of Sciences and Arts	2
College of Business	1
College of Forest Resources and Environmental Science	1
College of Computing	1

3.3 After the election of representatives by the Graduate Faculty Council, the University Senate will elect the at-large faculty representatives. The University Senate should strive for a committee balance of disciplinary interests through its elected committee members.

3.4 The Provost will ask the offices of Human Resources and Institutional Equity to each designate a representative to serve without a vote.

3.5 Following elections by the Graduate Faculty Council and the University Senate, the Provost will appoint the department chair and the administrator/dean representatives.

3.6 The Provost will request the Graduate Student Council, Government and the Staff Council to elect their representatives and alternates to the search committee. The Provost's office shall give a copy of these procedures to the representatives.

3.7 Names of the search committee members shall be published in Tech Today. Topics.

3.8 One of the Provost's representatives will call the first meeting of the search committee.

3.9 The Search Committee shall elect a chair and associate chair by written ballot, in closed session, at its first meeting.

3.10 Searches will proceed under the principle of openness. Committee meetings will be open to members of the University community unless a closed meeting is necessary to maintain confidentiality. The Search Committee will schedule regular public meetings including an open public meeting to meet each semifinalist candidate.

3.11 The Committee, in collaboration with the Provost, shall agree on a target date for the final selection of the candidate.

3.12 All procedures must comply with applicable University policies, procedures, and Human Resources and Institutional Equity practices to ensure equal opportunity to all candidates.

3.13 Full and open disclosure of potential conflicts of interest concerning committee members and/or applicants is expected. See Board of Trustees Conflict of Interest policy 4.7.

3.14 It is the responsibility of the search committee to read the Confidentiality Statement provided by Human Resources before the committee begins any search activities. All committee members must sign the confidentiality statement and the committee chair must send the completed statement to Human Resources.

4. Goals

In order to select an appropriate administrator, the Search Committee must consider the published goals of the Graduate School.

5. Process for defining candidate attributes

5.1 ~~Before soliciting candidates~~, the Committee, with input from the Provost ~~the Institutional Equity Officer~~, and a Human Resources representative, will produce the first draft of the position description (e.g., qualifications, expectations of college or department growth, etc.) that is in accordance with the goals and guidelines of the Graduate School and will ~~5.2 Before soliciting candidates, and in consultation with the President and Provost, the Committee will write a position~~ job description, establish ~~required and desired qualifications~~ criteria and essential functions and attributes (interpersonal skills, management style, etc.) to be applied to candidates, and weight these criteria by major and minor importance.

~~5.2 Before soliciting candidates, and in consultation with the President and Provost,~~The Committee will then distribute the position description and the ~~ordered~~ list of ~~required and desired qualifications and essential functions and~~ attributes to all faculty and staff of the University through the colleges and schools, and publish them in Tech ~~Today Topics~~ and the Lode. Written comments from the faculty, staff and students will be sent to the Committee for its consideration. A public meeting of faculty, staff and students shall be called to discuss and if necessary revise the job description and criteria. The final description and criteria should be published in Tech ~~Today Topics~~ and the Lode and ~~submitted to applicants~~. Copies of the comments submitted to the Search Committee will be kept in ~~Human Resources~~. ~~the University library~~.

6. Mechanism for identifying candidates

~~6.1 The "Request for Posting" memo should be completed and sent to the Human Resources Office.~~

6.1 To ensure a diverse, well-qualified applicant pool that meets EEO requirements, the Committee should solicit applicants according to procedures established by the Institutional Equity Office and work with the Institutional Equity Officer.

6.2 A budget for advertising, interviewing, etc. should be agreed upon with the Provost. Major deviations from this should be agreed upon by the Provost in advance.

6.3 In the case of an open search, the position will be advertised in appropriate professional journals and publications. Faculty should be invited to nominate both internal and external candidates. Faculty are encouraged to contact colleagues and send them position descriptions.

6.4 The Committee will screen applicants according to its published ~~job description~~ criteria. If an applicant appears to be a strong contender for the position, the Committee will check applicant information (e.g., degrees, positions held) and references.

7. Short list of candidates

The Committee, after reviewing vitae, reference letters, and other relevant material, will produce a short list of candidates. After clearance by the Institutional Equity Officer, these candidates will be invited for on-campus interviews. The Committee will obtain

independent assessments from references not listed by the candidates. The Committee should solicit faculty help for identifying appropriate reference persons, but [the](#) identity of candidates must be kept confidential until they accept a campus interview (semifinalist) invitation.

8. Interview process

8.1 The short vita of each candidate on the short list should be sent to all departments and units of the University.

8.2 The interview process will include, among other strategies, responding to a hypothetical job situation that reveals how the candidate approaches problem-solving, decision-making, and ethics.

8.3 During the interview for the dean of the graduate school, each candidate will be asked to make one presentation, addressed to the Graduate Faculty Council and the staff of the Graduate School, but open to any member of the University community. The presentation might include but is not limited to the following topics:

(A) Trends, directions, and opportunities for supporting and expanding graduate education at Michigan Technological University.

(B) The direction of education in the graduate programs and the role of the graduate school in the intellectual life of the University.

(C) The candidate's administrative philosophy and a plan for meeting the short- and long-term goals of the Graduate School.

(D) The debates and trends at the international, national and state level that may affect funding opportunities for the Graduate School.

(E) The resources needed to attain the goals of the Graduate School.

(F) Questions from the audience.

8.4 The Search Committee will make appointments for the candidate to meet the Provost, the President, Deans of Colleges ~~and Schools~~, the Human Resources Director, and other appropriate personnel. ~~The Board of Trustees Control~~ may also request an interview.

8.5 Faculty, staff, and students will be invited to provide oral and/or written feedback to the Committee, based on the established [required and desired qualifications and essential functions and](#) attributes ~~criteria~~, and on the ~~entire slate of~~ semifinalist candidates. The Committee will share these comments with the Provost [and no one else, consistent with Board of Trustees policy 4.10.](#)

9. Selecting the final candidate

9.1 The selection process will begin only after the semifinalist interview process for all candidates is complete. ~~The Search Committee should inquire whether the Board of~~

~~Trustees Control or a subcommittee thereof wishes to meet with the top two or three candidates.~~

9.2 After considering the feedback from the University community, the Committee, following deliberation in a closed session, will develop a list of ~~three~~ acceptable candidates, ~~typically 2-5 in number~~, from the list of semifinalists. ~~The Provost will also develop a list of acceptable candidates from the list of semifinalists. The Provost will meet with the Search Committee to review its final list of acceptable candidates. If the Provost is unable to proceed based on the list of candidates provided, the Provost may choose to reopen the search for additional candidates or fail the search and restart the process. If the Provost makes a decision to proceed with negotiations with a candidate not included on the committee's final list of acceptable candidates, the Provost will provide a written explanation of the reasons to the committee, Human Resources, and Institutional Equity.~~

9.3 Prior to beginning negotiations with any candidate, the Provost will forward their recommendation and information about the committee's recommendation to the President. All academic administrators serve in their administrative role at the pleasure of the President of the University. Upon receiving the President's approval, the Provost will begin the process of negotiating with the selected candidate.

9.4 Prior to making a final offer, reasons for non-selection based on the required and/or desired qualifications listed in the job description must be reviewed by Institutional Equity for the candidates not recommended for hire. Human Resources will confirm that reference checks were conducted and that all approvals were received for the President and Provost before approving the hiring documentation.

10. Guidelines for negotiating salary and tenure

Negotiating salary and tenure will take place following normal University procedures.

11. Closure

The Search Committee should inform the Senate of any changes it deems necessary in the search procedure.