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A PhD Student Annual Review Form 19

B MS Student Annual Review Form 23
1 Introduction

The purpose of this handbook is to provide students pursuing the MS or PhD in Computer Science (CS) with an overview of the rules governing those programs. Note that the rules and procedures contained in this handbook are subject to change. Please see the Graduate Director of the Department of Computer Science for updates.

2 PhD Policies and Procedures

2.1 Admission Requirements

Applicants should have a BS or MS degree in computer science or a related field (exceptions may be made for well-qualified applicants from other disciplines). The department anticipates that successful PhD program applicants will have a GRE Verbal score above the 50th percentile, a GRE Quantitative score above the 85th percentile, and a GRE Analytical Writing score above 3.0. There is no minimum GRE score for admission. A TOEFL score at least 79 (IBT) or 6.5 (IELTS) is required for international applicants whose native language is not English. A TOEFL score at least 94 (IBT) or 7.0 (IELTS) is required for financial support. All applicants except for Michigan Tech graduates, must submit GRE test scores.

2.2 Advisor and Committee

Each student will have an Advisor who is a member of both the MTU graduate faculty and the Computer Science tenured/tenure-track faculty. The Advisor will have the primary responsibility for supervising the student’s research project and for directing the student’s academic and professional development.

Each student will have an Advisory Committee consisting of the student’s advisor and at least three additional members. Two of the three must be from the Department of Computer Science. At least one committee member must be from outside the CS department. All Advisory Committee members from MTU must be members of MTU’s Graduate Faculty. The Advisory Committee members will be selected by the Advisor in consultation with the student. An advisor should be chosen during the first year of residence. Until the advisor is chosen, the student will be advised by the Director of Computer Science Graduate Programs.

2.3 Change of Advisor

Before initiating the process to change your graduate advisor, please consider all the options listed on the Graduate School’s website for how to address difficulties in the student-advisor relationship (https://www.mtu.edu/gradschool/resources-for/students/academic/succeeding/index.html).

Once you have decided to change your graduate advisor, you must follow the steps listed below.

1. Meet with your graduate program director to initiate the process to change advisor. If meeting with the graduate program director is not feasible or appropriate, meet with the department chair.
2. Discuss the following with the graduate program director (or Chair) and, if appropriate, the current advisor:

- Whether additional resources within or outside the department (such as the Ombuds office) could help resolve the situation.
- The impact of the change of advisor on your time to complete the degree. Coursework, qualifying exam(s), and the research proposal examination are all factors that could be impacted with a change in advisor.
- Your current and future funding.
- Research already conducted. Whether this will be incorporated into the dissertation, thesis, or report, and if so, how.
- Impact on immigration status (if any). Consult International Programs and Services (IPS), if necessary.
- Record the agreement from the discussions in writing, including indications of agreement from all affected faculty advisors, and provide copies to the student, the graduate program director, and all affected faculty advisors.

3. File an updated Advisor and Committee Recommendation Form for approval by the Graduate School (https://www.mtu.edu/gradschool/documents/policies-procedures/forms/advisor-committee.pdf).

4. If the student and the graduate program director are unable to reach agreement on the advisor change, contact the assistant dean of the Graduate School to determine additional steps to resolve the situation.

2.4 Courses

The PhD student must complete

C1) An approved MS program in computer science,

C2) A PhD credit requirement, and

C3) A graduate-level breadth requirement.

To complete the MS program requirement the student may complete one of the options listed in Section [3] or complete an approved MS at another university. To complete the PhD credit requirement a student must complete a total of 30 credits of course work and/or CS6990: Dissertation Research beyond the MS program requirement. These courses must be approved by the Advisory Committee on the Preliminary Program of Study form[1].

[1]This form is not required by graduate school. Use the Degree Schedule form as replacement but it is only for internal review by the Advisory Committee and the Graduate Director.
2.4.1 Graduate-level Breadth Requirement

To complete the *graduate-level breadth* requirement, each PhD student must satisfactorily pass CS 5311, CS 5321 and five courses from the areas listed below. A maximum of one course per area is allowed.

**Artificial Intelligence:** CS5811, CS 5841

**Compiler Optimization:** CS5130

**Computer Architecture:** CS5431

**Computer Security:** CS 5471, CS5472

**Data Analysis:** CS 5821

**Graphics and Visualization:** CS5611, CS 5631, CS 5641

**High Performance Computing:** CS5331, CS5496

**Human-Computer Interaction:** CS5760, CS 5770

**Networks:** CS5461

**Operating Systems:** CS5411, CS5441

**Perfomance Analysis:** CS 5481

**Software Engineering:** CS 5740

**Non-CS Graduate Course:** Requires approval

Courses not included on this list require the approval of the student’s advisory committee and the Graduate Director to count toward the graduate-level breadth requirement. Satisfactory completion of the graduate-level breadth requirement involves attaining an A in three of the five chosen courses and at least an AB in the other two.

Individual courses may be used to satisfy more than one of the above three course requirements in one of two ways. First, a 5000- or 6000-level course may count toward requirements C1 and C3. Or second, a 5000- or 6000-level course may count toward requirements C2 and C3. A single course may not count toward all three requirements.

The Advisory Committee approves the required courses by first signing the *Preliminary Program of Study* and later approving the *Degree Schedule*. The *Preliminary Program of Study* form should be turned in during the second semester of residence. It is recommended that students finish all of their course requirements within the first two years of enrollment in the graduate program in Computer Science. Note that the graduate-level breadth requirement must be completed as part of the Comprehensives described in the next section.

2.4.2 Credit Transfer

A maximum of six course credits taken as a graduate student at other colleges or universities may be accepted for graduate credits towards PhD/MS of Computer Science at Michigan Tech. If these credits were taken before enrollment at Michigan Tech, a request for transfer credit should be made during the student’s first semester on campus. Transfer credits must be
Transfer credit may be given for CS 5311 or CS 5321. A request for transfer credit should be made to the graduate director. A student that receives transfer credit for CS 5311 or CS 5321 must still take the TQE exams.

2.5 Comprehensive Evaluation

The Comprehensive evaluation identifies whether a student has the general knowledge appropriate to the student’s program, as well as the student’s ability to use this knowledge. The exam has three components: coursework, the Technical Qualifying Exam and the Research Qualifying Exam.

After completing the Comprehensive Evaluation, the student should submit the Report on Comprehensives form to the Graduate School.

2.5.1 Coursework

The coursework requirement is met by completing the graduate-level breadth requirement described in Section 2.4.1.

2.5.2 Technical Qualifying Exam

The TQE exam has two component exams, one in Computation Theory and one in Analysis of Algorithms. Each component exam is a three-hour written exam.

Each component exam will be both written and graded by two graduate faculty chosen by the CS Department Graduate Director. The exams are offered immediately before the spring and fall semesters each year. A student who receives an A in CS5311 is exempt from taking the exam in Computation Theory, and a student who receives an A in CS5321 is exempt from taking the exam in Analysis of Algorithms.

The topics covered on each of the exams are specified via a syllabus that is available from the Graduate Secretary. Preparation for both exams should include the successful completion of the following courses: CS5311 and CS5321. Although the exams are not specifically tied to a course, these courses provide excellent preparation for the material contained on each exam syllabus.

Each student has up to two attempts to pass the TQE exam. All students must take the entire TQE exam (both components) during the first attempt. (If a student already has an exemption for one of the exams, she may take only the remaining component exam.) A student may earn one of the following grades on each component exam: pass, marginal, or fail. Each student must earn a pass on one exam and at least a marginal on the other exam in order to pass the entire TQE Exam. Figure 1 summarizes the possible outcomes and requirements after a student’s first attempt at the TQE exam. If a component exam is taken multiple times,
the highest grade of the two attempts is counted. If a second attempt to pass the TQE exam is required, a student must make that attempt during the next offering.

<table>
<thead>
<tr>
<th>Theory</th>
<th>Algorithms</th>
</tr>
</thead>
<tbody>
<tr>
<td>pass</td>
<td>A A C</td>
</tr>
<tr>
<td>marginal</td>
<td>A D C</td>
</tr>
<tr>
<td>fail</td>
<td>B B E</td>
</tr>
</tbody>
</table>

(a) Matrix

A) Successful completion of Qualifier.  
B) Retake Theory Exam.  
C) Retake Algorithms Exam.  
D) Retake either exam.  
E) Retake both exams.

(b) Explanation

Figure 1: Possible Qualifier Outcomes

2.5.3 Research Qualifying Exam

The Research Qualifying Exam (RQE) is primarily given to determine the student’s ability to successfully conduct research in Computer Science. The RQE is an oral exam over a report written by the student that describes an original research project conducted by the student. The impact and scope of the result is not a primary factor in assessing the student’s ability. Instead, the exam is intended to determine whether the student is able to work independently, think creatively, apply scientific principles, and to present and defend their work to the computer science community.

The RQE is conducted by three tenured and tenure-track faculty from the Department of Computer Science. A student may submit a list of suggested faculty for the RQE committee. The Director of Graduate Studies will ultimately assign an RQE committee taking into account the student’s preferences, but also balancing faculty work loads and responsibilities. For students who have already formed a dissertation committee at the time of the exam, it is expected the CS faculty on the dissertation committee will also serve as the RQE examination committee.

Report  The report should be in a format similar in content and scope to a conference or journal publication in the field. The report must be authored by the student and describe original research performed primarily by the student with input from the research advisor. The student may seek comments on the written report from the research advisor and from the MTU writing center. An MS thesis on research in computer science or a related field is an acceptable written report. The report must be provided to the RQE committee at least two weeks prior to the oral examination.

Letter  The student’s research advisor should provide the examination committee with a letter that describes the manner in which the student conducted the research and give an appraisal of the quality of the research. The letter should contain an assessment of whether the advisor believes the student can successfully complete a PhD dissertation.

Exam  The student will give a public oral presentation of the research results. The presentation must be announced two weeks prior to the exam. The committee determines the outcome of the exam and provides
a written result to the student. The result can be *pass*, *conditional pass*, or *fail*. A *pass* indicates the student has completed the requirement. The committee may give a *conditional pass* when there are deficiencies that must be addressed. A conditional pass will be accompanied by a written list of conditions that must be met by the student in order for a *pass* to be awarded. A *fail* indicates that the student has failed the RQE.

For students who complete their Masters thesis at MTU, it is expected that the RQE exam will be given at the same time as the thesis oral presentation. For students who enter the PhD program without an MS, it is expected that the student will pursue the thesis option for the MS and the written document provided to the RQE committee will be the thesis. Students who enter without an MS may take up to nine credits of CS5990 to conduct research and develop a written report for the RQE.

### 2.5.4 Timeline

This section describes deadlines for completing the required components of the Comprehensive Evaluation. Requirements are given for students with a BS or MS in CS or a closely related field. Other students should work with the Graduate Director during the first term of enrollment to identify the required timeline for completion of the Comprehensive Evaluation.

These deadlines mark the **maximum acceptable time** for completion. Failure to meet one of these deadlines may result in removal from the program. Section 2.7 describes expected completion times, which may be shorter than the deadlines given here.

Requests for a time extension due to extenuating circumstances will be considered on an individual basis and must be submitted to the Graduate Director in a timely fashion. The Graduate Committee will determine if a time extension is to be granted.
PhD students are expected to complete the degree requirements within five years. Students should not expect to receive funding from the department beyond their fifth year in the program.

**Coursework**  Students with an MS in CS or a closely related field must complete the PhD breadth requirement within 2 years of enrollment in the PhD program. Students with a BS in CS or a closely related field must complete the PhD breadth requirement within 3 years from the first semester of enrollment.

**TQE**  Students with an MS in CS or a closely related field must complete the TQE within 2 years of enrollment in the PhD program. Students with a BS in CS or a closely related field must complete the TQE within 3 years from the first semester of enrollment.

**RQE**  Students with an MS in CS or a closely related field must complete the RQE within 3 years of enrollment in the PhD Program. Students with a BS in CS or a closely related field must complete the RQE within 4 years from the first semester of enrollment.

Figure 2 depicts the timeline for completion of the Comprehensive Evaluation. It shows the number of semesters (excluding summer) of enrollment after which each of the requirements (Breadth, TQE, RQE) must be met. The MS and BS suffixes respectively denote PhD students entering with an MS and PhD students entering with a BS in CS or a closely related field.

### 2.6 Dissertation Proposal

The Dissertation Proposal Defense should be completed within 1 year of completing the Comprehensive Evaluation and must be completed within 2 years. Requests for extensions to this limit must be submitted in writing to the Graduate Director.

#### 2.6.1 Dissertation Proposal Defense

The Dissertation Proposal Defense involves preparing a written document and then presenting it orally in an open, public forum. The date and time of the proposal shall be announced at least two weeks in advance and the final version of the written proposal must be given to all Advisory Committee members at least two weeks in advance of the oral presentation. Furthermore, a copy of the proposal must be available in the CS Department office at least two weeks in advance of the oral presentation.

After the dissertation proposal is presented, the Advisory Committee must decide if the student is prepared to proceed to the dissertation research project. A 75% vote of *pass* is required for the student to pass the proposal. After passing the Dissertation Proposal Defense, the student should submit the *Approval of Dissertation Proposal* form to the Graduate School.
2.7 Dissertation Defense

2.7.1 Scheduling of the Final Oral Examination

Once the dissertation is written and the Advisory Committee’s suggestions and comments have been incorporated by the student, it is time for the final oral examination. Four weeks prior to the final oral examination the student must give each member of the Advisory Committee a copy of the final dissertation. After each committee member has reviewed a copy of the dissertation and has determined that the copy is of oral exam quality, each should sign the Scheduling of Final Oral Examination form. This form and a copy of the dissertation are due in the Graduate School office two weeks before the final oral examination. After the Scheduling of Final Oral Examination form has been signed and at least two weeks before the final oral exam, a copy of the dissertation must be available in the CS Department office.

2.7.2 Final Oral Examination

The final oral examination is an open, public presentation of the student’s research and research results. After the presentation, anyone in the general audience including members of the Advisory Committee may ask questions. Then, the general audience will be excused; those remaining will be Advisory Committee members or CS Faculty. Anyone in this restricted audience may ask questions. Finally, everyone is excused except the Advisory Committee and the student. Members of the Advisory Committee may ask further questions concerning the research and the student’s PhD program.

Finally, the student is excused, and the Advisory Committee must decide if the student passes or fails the final examination. A student passes the final oral examination if no more than one member of the Advisory Committee dissents and if the student addresses, in writing, the dissenting member’s concerns to the satisfaction of the Advisor and the Dean of the Graduate School. The committee may make its passing contingent upon changes being made in the dissertation.

If the student fails, s/he may take the final examination a second time. A student must pass the final examination within two tries in order to continue in the program.

After passing the oral examination, the student submits to the Graduate School the Report on Final Oral Examination.

2.8 PhD Student Annual Review

The purpose of the PhD student annual review procedure is to encourage and motivate PhD student research, and provide additional mentoring for graduate study.

The PhD review procedure consists of the following main steps:

1. An annual progress report and other materials completed by the PhD student and advisor (if one exists) will be requested. Dates vary by year, but the deadline is typically in the middle of the spring semester.

2. Review of the progress report by the Graduate Committee (Note, this is separate from an advisor’s evaluation/grade for research credit submitted each semester.)
3. Notification letter to the student and advisor by early April.

### 2.8.1 Review Criteria

Minimum requirements are that the student must comply with all academic rules, regulations, and timelines set forth by the Graduate School and the Department of Computer Science. These include, but are not limited to,

- maintaining an acceptable cumulative grade point average (GPA),
- forming an advisory committee,
- filing the Preliminary Program of Study form and Degree Schedule form,
- passing Technical Qualifying Evaluation (TQE) Exam and Research Qualifying Exam (RQE),
- completing the PhD breadth requirement,
- submitting a dissertation proposal, and
- passing the dissertation oral defense,

all within allowable time limits.

In addition to meeting minimum requirements, students must demonstrate Satisfactory performance, based on faculty expectations. To provide guidance to students and faculty, descriptions of ranges of Satisfactory progress for students entering with a related Bachelor’s degree or with a related Master’s degree are provided in Table 1 and Table 2, respectively. Entry $D+x$ indicates steps expected to be completed by students entering with degree $D$ ($B$ for BS, $M$ for MS) during year $X$ in the program.

<table>
<thead>
<tr>
<th>B+1</th>
<th>The student is expected to find an advisor and to file the Preliminary Program of Study form.</th>
</tr>
</thead>
<tbody>
<tr>
<td>B+2</td>
<td>The student is expected to complete the PhD breadth requirement.</td>
</tr>
<tr>
<td>B+3</td>
<td>The student is expected to complete the TQE exam and the RQE. The student should make significant progress toward their dissertation proposal.</td>
</tr>
<tr>
<td>B+4</td>
<td>The student should complete the dissertation proposal. The student should make significant progress towards completion of the PhD dissertation. The student should submit, in the annual review report, a research plan including the goal of publications before dissertation defense, which is agreed their advisory committee. There should be evidence that the candidate has produced original, significant research contributions. Lack of publications is an indicator of inadequate progress.</td>
</tr>
<tr>
<td>B+5</td>
<td>The student should complete and defend their dissertation.</td>
</tr>
</tbody>
</table>

Table 1: Satisfactory progress guidelines for students entering with a related Bachelor’s degree

The graduate committee, in consultation with a student’s major advisor, will rate the student based on the above criteria. A student will likely be rated Needs Improvement if they fall below these ranges, and they will

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2 Use the Degree Schedule form
The student should find an advisor. The student should file the Preliminary Program of Study form, and have (nearly) completed the PhD breadth requirement. Progress in research should be documented (for instance, substantial work targeting a conference or journal submission).

The student is expected to have completed the PhD breadth requirement, the TQE and the RQE. The student should have tangible research results, such as refereed publications in recognized outlets. The student should submit, in the annual review report, a research plan including the goal of publications before dissertation defense, which is agreed by their advisory committee.

The student should complete the dissertation proposal. The student should have made substantial progress towards completion of the PhD dissertation. There should be evidence that the candidate has produced original, significant research contributions. Lack of publications will be an indicator of inadequate progress.

The student is expected to complete and defend their dissertation.

| M+1 | The student should find an advisor. The student should file the Preliminary Program of Study form, and have (nearly) completed the PhD breadth requirement. Progress in research should be documented (for instance, substantial work targeting a conference or journal submission). |
| M+2 | The student is expected to have completed the PhD breadth requirement, the TQE and the RQE. The student should have tangible research results, such as refereed publications in recognized outlets. The student should submit, in the annual review report, a research plan including the goal of publications before dissertation defense, which is agreed by their advisory committee. |
| M+3 | The student should complete the dissertation proposal. The student should have made substantial progress towards completion of the PhD dissertation. There should be evidence that the candidate has produced original, significant research contributions. Lack of publications will be an indicator of inadequate progress. |
| M+4 | The student is expected to complete and defend their dissertation. |

Table 2: Satisfactory progress guidelines for students entering with a related Master’s degree.

likely be rated Unsatisfactory if they fall significantly below these ranges. It is important to note however, that the ranges provided are only guidelines and they should be adjusted accordingly based on each student’s individual circumstances. For example, a student may join in mid-year, a student may come with a different background and need additional foundation courses, a student may initially focus on research and defer course work, a student may carry significant teaching duties, or a student may go on an internship. The actual evaluation will take into account all the information available to the graduate committee.

A student who has been a graduate teaching assistant (GTA) will also be evaluated based on their GTA performance. An Unsatisfactory rating by the students in their classes or the faculty mentor might lead to an Unsatisfactory rating in the annual evaluation.

2.8.2 Student and Advisor Reports

The student is required to prepare and file the materials listed below by the announced deadline, typically in the middle of the spring semester. Failure to submit the required materials will result in a rating of Unsatisfactory for that year. Complete and accurate documents are critical for a successful PhD review.

- An annual progress report (Appendix A) describing in detail the student’s progress towards their PhD degree in the last year, or since the date the student entered the PhD program, whichever is more recent. It includes documenting progress on required milestones, e.g., passing TQE and RQE, completing PhD breadth requirement, submitting dissertation proposal, passing dissertation oral defense. For each requirement that has not yet been completed, the student will be asked to provide a tentative date by which they plan to complete that requirement. In addition, this report must include a list of the papers that have been published, accepted or submitted to conferences or journals, teaching and service activities, limited to the period covered by the annual report. Acceptance ratios or percentages and the total number of submissions should be included for conference papers. The graduate committee will provide a template for the format of the annual report.
The student’s advisor, if they have one, will provide their evaluation of the student’s performance as Satisfactory, Needs Improvement, or Unsatisfactory and will provide written comments and attach to the student’s annual progress report.

A current curriculum vitae (CV). The CV must include a complete listing (all years) of all the student’s published, accepted or submitted conference and journal papers. Acceptance ratios or percentages should be included for conference papers.

2.8.3 Graduate Committee Review and Notification Processes

All PhD students will be evaluated by the graduate committee. After the graduate committee evaluation, each student will receive a rating (Satisfactory, Needs Improvement, or Unsatisfactory) and additional feedback regarding their degree progress. The Graduate Director, on behalf of the graduate committee, will send a written memo to the student and the advisor(s). The memo will include specific feedback explaining the evaluation. In case that a student receives a Needs Improvement or Unsatisfactory rating, the memo will state which expectations were not met and will provide follow-up actions the student can take to improve to Satisfactory performance before the next PhD Student Annual Review. If a student disagrees with their rating, they may provide a written response that will be placed in their departmental record. This statement may include whatever justification or explanation of extenuating circumstances that the student may wish to provide. This statement will be available during future annual PhD reviews.

If a student disagrees with their rating and plans to appeal, they are suggested to follow an internal procedure before starting a formal academic grievance process. They should first consult with their advisor. If both the student and the advisor disagree with the rating, the student and the advisor may meet with the graduate committee to resolve the issue. If the student does not feel that a satisfactory explanation or resolution has been reached after the meeting, they may initiate a discussion with the department chair. If the disagreement cannot be resolved at that time, the student may file a written grievance following the University’s academic grievance guideline.

2.8.4 Consequence of an Unsatisfactory or Needs Improvement Rating

A student with an Unsatisfactory rating will in general not be eligible for departmental support until all tasks specified in the improvement plan have been successfully completed. A student with a Needs Improvement rating will be ranked lower for consideration of departmental support.

Students who are rated as Needs Improvement or Unsatisfactory must complete the following improvement process (IP) within four weeks of the date when notification of PhD review results was handed out. This deadline applies even if the student is away from campus, e.g., on an internship. Students will complete the improvement process with a mentor. If the student has an advisor, then their mentor will be their advisor. The Graduate Director will be the mentor for students that have not yet identified an advisor. This process can be completed remotely using email and/or conference calls as necessary if the student or their advisor is out of town. All improvement process materials should be submitted to the graduate committee and included in the their departmental record.

1. The student should develop a performance improvement plan with their identified IP mentor. This plan must include steps and a timeline for achieving Satisfactory progress over the next year.
2. After the performance improvement plan is approved by the IP mentor, an appointment for the student and their IP mentor will be scheduled to meet with the Department Chair, or designate, to discuss the student’s performance and the plans for improving it.

3. The student will be reviewed again in October. In this review, the student will submit the regular materials required for the annual performance review before Oct. 15. The student will also submit a description of the improvement plan and describe their progress toward completion of the plan. The student will discuss the improvement plan with their IP mentor and have the mentor sign the description.

4. The materials will be submitted to the graduate committee.

5. The committee will review the materials and provide written feedback.

6. Before the next annual Spring review, the student will meet with the Department Chair to discuss progress on the improvement plan. The Chair, together with the graduate committee, will decide whether and when all tasks in the improvement plan of a student are successfully completed. The recommendation by the IP mentor will be taken into account for this decision.

2.8.5 Performance Evaluation and Department Support

The graduate committee reports students’ performance to the department chair. The chair makes GTA support decisions using students’ performance as a key reference. A Satisfactory rating does not guarantee department support.

3 Master of Science Policies and Procedures

3.1 Admission Requirements

All applications, except for Michigan Tech graduates, must submit GRE test scores. The department anticipates that successful applicants will have a GRE quantitative score above the 75th percentile, an analytical writing score above 3.0 and a verbal score above the 50th percentile. There is no minimum GRE score for admission. A TOEFL score at least 79 (IBT) or 6.5 (IELTS) is required for international applicants whose native language is not English. A TOEFL score at least 94 (IBT) or 7.0 (IELTS) is required for financial support.

3.2 Choosing an Advisor

Each student will have an advisor that is a member of the Michigan Tech graduate faculty and a member of the Computer Science tenured/tenure-track faculty. Any student may choose an advisor. Until an advisor is chosen, the student will be advised by the Director of Computer Science Graduate Programs.

Students in the thesis or project options will have an advisory committee consisting of the student’s advisor and at least two additional members. The student’s primary advisor and at least one additional member must be from the Department of Computer Science.
All advisory committee members from Michigan Tech must be members of Michigan Tech’s Graduate Faculty. The advisory committee members will be selected by the advisor in consultation with the student.

### 3.3 Course Work Requirements

All MS students must satisfy a core and breadth requirement. The core requirement is satisfied by successful completion of undergraduate courses in both theory and algorithms (CS 3311 and CS 4321) and a graduate course in theory or algorithms. The requirement to take CS 3311 or CS 4321 may be waived if a student has taken an equivalent course before entering the program. Students wishing to count non-MTU courses toward the requirement must complete the “Breadth/Depth Requirement Form” that can be obtained from the Computer Science Graduate Secretary. Note that CS 3311 does not count toward the 30 credits required for the MS degree.

The breadth requirement is satisfied by successful completion of two graduate or senior-level-undergraduate courses in each of Category A and Category B listed in the Table 3. Within each category, the courses must come from two different areas.

<table>
<thead>
<tr>
<th>Category</th>
<th>Area</th>
<th>MTU Courses</th>
</tr>
</thead>
<tbody>
<tr>
<td>Category A</td>
<td>Languages &amp; Compilers</td>
<td>CS4121, CS4130, CS5130</td>
</tr>
<tr>
<td></td>
<td>Operating Systems</td>
<td>CS4411, CS5411, CS5441</td>
</tr>
<tr>
<td></td>
<td>Computer Architecture</td>
<td>CS4431, CS5431</td>
</tr>
<tr>
<td></td>
<td>Networks</td>
<td>CS4461, CS5461</td>
</tr>
<tr>
<td></td>
<td>Performance Analysis</td>
<td>CS5481</td>
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<tr>
<td></td>
<td>High Performance Computing</td>
<td>CS 4331, CS 5331, CS4496, CS5496</td>
</tr>
<tr>
<td></td>
<td>Security</td>
<td>CS4471, CS5471, CS 5472</td>
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<td></td>
<td>Database</td>
<td>CS4425</td>
</tr>
<tr>
<td>Category B</td>
<td>Computer Graphics and Visualization</td>
<td>CS4611, CS5611, CS5631, CS5641</td>
</tr>
<tr>
<td></td>
<td>Software Engineering</td>
<td>CS4710, CS4711, CS4712, CS 4740, CS 5740</td>
</tr>
<tr>
<td></td>
<td>Artificial Intelligence</td>
<td>CS4811, CS5811, CS5841</td>
</tr>
<tr>
<td></td>
<td>Human-Computer Interaction</td>
<td>CS4760, CS5760,CS5770</td>
</tr>
<tr>
<td></td>
<td>Data Analysis</td>
<td>CS4821, CS5821</td>
</tr>
</tbody>
</table>

Table 3: MS Breadth Requirement

Courses taken to fulfill requirements for an undergraduate degree may be used to fulfill the breadth requirement; however, the credits may not be counted toward the MS degree. For students who have received their undergraduate degree someplace other than MTU, courses taken at one’s undergraduate university in the above areas may be used to complete the breadth requirement. The MTU faculty member whose expertise is in the area of the non-MTU course under consideration for the breadth requirement must approve the course as acceptable. Students wishing to count non-MTU courses toward the requirement must complete the “Breadth/Depth Requirement Form” that can be obtained from the Computer Science Graduate Secretary.

Any CS course not listed in Table 3 will not count for graduate credit without the permission of the Graduate Director. Note that students who are deficient in computation theory and are not prepared to take CS5311 may take CS3311 for graduate credit. Approval of the Graduate Director is required before signing up for
3.3.1 Credit Transfer

Please refer to Section 2.4.2.

3.4 Degree Options

Students may select from among three options for completion of the MS degree: the thesis option, the report option, and the course work option. All three options require 30 hours of course work. At most one course with a grade of BC may be counted toward the degree. Otherwise grade of B or better must be attained in all courses.

The options are described in detail below.

3.4.1 Thesis Option

Under the thesis option, the CS Department allows up to 9 of the 30 hours of credit required for graduation to be in CS5990. In addition to completing the 30 hours of credit in approved courses (including CS5990 and up to 3 hours of CS5999 credit though not more than 9 total hours may be taken in CS5990 and CS5999), a student following the thesis option is expected to:

1. Prepare a written plan describing the thesis research.
2. Defend the research plan in an oral seminar presentation or meet with the advisory committee to discuss the research plan. The student and her/his advisor will determine whether the plan is to be presented in a department-wide seminar, or will be presented to faculty members individually.

The department recommends the following timetable for the milestones along the way to a thesis masters. (Note: items marked with a ‘+’ are milestones; items marked with a ‘*’ are requirements.)

+ find a thesis advisor during the first year in the program.
+ present a thesis plan by the end of the 3rd semester in residence (not counting summers).
* provide a defendable thesis to the entire committee no later than two weeks prior to the thesis defense. In addition, make a copy available in the CS main office for other interested parties.
* defend the thesis in a public forum. This includes two question and answer sessions: the first consists of both students and faculty; the second being closed to the general audience consists of faculty only.
3.4.2 Report Option

The report option allows up to 6 of the 30 hours of credit required for graduation to be in CS5990. In addition to completing the 30 hours of credit in approved courses (including CS5990 and up to 3 hours of CS5999 credit), a student following the report option is expected to: work on a project and present written and oral project reports at the conclusion of the project. Thus, the student should

1. Prepare a written project plan which describes any background work necessary for completion of the project and a project plan.
2. Present the project plan to the advisory committee.
3. Prepare a final report at the conclusion of the project.
4. Defend the project report in a public oral seminar presentation.

The department recommends the following timetable for the milestones along the way to a report masters. (Note: items marked with a ‘+’ are milestones; items marked with a ‘*’ are requirements.)

+ find a major advisor during the first year in the program.
+ present a project plan by the end of the 3rd term in residence (not counting summers).
* provide a “defendable” project report to the entire committee no later than two weeks prior to the oral defense. In addition, make a copy available in the CS main office for other interested parties.
* defend the report in a public forum. This includes two question and answer sessions: the first consists of both students and faculty; the second being closed to the general audience consists of faculty only.

3.4.3 Course Work Option

The course work option requires 30 hours of graded course work. None of the 30 hours of credit required for graduation may be in CS5990 and no more than 3 hours of CS5999 credit may be applied to the 30-hour requirement. Course work option students have the graduate director as their advisor.

3.5 Review

All graduate programs at the university provide constructive written feedback to students who are completing a report, thesis, or dissertation, at least annually. Following is the process for yearly evaluation of students that have chosen the thesis or project options for their MS degree.

Before the start of the fourth week of classes in the Fall semester, each student that has chosen the thesis or report options will complete a yearly progress report for MS Thesis students. (See Appendix B) The student will complete the report and submit it to their advisor. The advisor will complete the form and meet with the student to discuss the student’s progress. The student will then submit the form to the graduate director by email as a PDF and in hard copy.

If deficiencies are identified in a student’s performance, the student will receive written feedback from the graduate committee specifically addressing the area(s) of deficiency, timeline for making up the deficiency,
and consequences for continued unsatisfactory performance. From this point, the student must complete the evaluation form each semester of enrollment until there is a satisfactory review.
A PhD Student Annual Review Form

PhD Student Annual Progress Report  
(MARCH 1, YEAR – FEB. 28, YEAR+1)

Student Name:

Advisor(s):

Semester you entered the PhD program:

Degrees attained prior to entry to PhD program:

**Milestones**
Please fill in the dates you have reached, or expect to reach the following milestones. Work with your advisor for the expected dates.

<table>
<thead>
<tr>
<th>Milestone</th>
<th>Deadline for completion(^1)</th>
<th>Date completed/expected</th>
</tr>
</thead>
<tbody>
<tr>
<td>TQE Algorithm</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TQE Theory</td>
<td></td>
<td></td>
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<tr>
<td>TQE PhD Breadth</td>
<td></td>
<td></td>
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<tr>
<td>RQE</td>
<td></td>
<td></td>
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<tr>
<td>Dissertation Proposal</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Oral Defense</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* Not applicable if entering as a PhD student

**Comments on milestones:**

**Department/Research Support**
Do not report the semester(s) when you were not enrolled. Please include the support when you were an MS student, if it applies.

**List** the semesters (not including summer) in which you were supported as a TA since your admission, including Spring 2019:

**List** the semesters (not including summer) in which you were supported as an RA since your admission, including Spring 2019:

**List** the semesters (not including summer) in which you were self-supported including Spring 2019:

\(^1\) See the graduate handbook to determine deadline.
Research Activities
Write a short summary of your research activities and list all your papers that have been published, accepted or submitted to conferences or journals NOT limited to the period covered by the annual report. Acceptance ratios or percentages and the total number of submissions should be included for conference papers. Also list other research activities such as software infrastructure development in this section.

List all internal/external technical presentations/talks, such as conference talks, Friday seminars, Tech graduate student research talks.

Teaching Activities
1. Courses and/or Labs taught since your last performance review (attach student evaluation report for each course):

2. TA positions served since your last performance review (attach faculty evaluation for each course):

Professional Development/Service Activities
1. Fully describe all professional honors, awards, and/or prizes received since your last performance evaluation (include title, organization/society awarding honor, other relevant information):

2. Indicate and describe any of the following activities you engaged in since your last performance review:
   External Professional/Service Activities:
   o Election to professional national, regional, or state organizations
   o Editorial Activities (e.g., guest journal reviewer, convention program reviewer, etc.)
   o Appointment/service to professional national, regional, or state organizations
   o Service to local community outside of the Institute (e.g., community presentations,
   o Workshops/ invited lectures to local schools or organizations, nonprofit board service, etc.)
   o Volunteer service at top-tier conferences
   
   Internal Professional/Service Activities:
   o University/College/Department Committee membership (committee name, dates of service)
- Other (e.g., Invited lectures, developing informal graduate student learning activities, attending university/college/department promotion events, etc.)
Advisor's Comments

In your opinion, the student's progress toward degree in the past year is

_____ Satisfactory
_____ Needs improvement
_____ Unsatisfactory

Please explain your rating and comment on the student’s progress toward graduation, and his/her plan for future milestones.

Signatures:

Advisor: ________________________________ Date: ________________
Co-advisor: ______________________________ Date: ________________
Student: ________________________________ Date: ________________
**B  MS Student Annual Review Form**

**MS Student Annual Progress Report**  
Sep 1 (YEAR) - Aug. 31 (YEAR+1)

Student Name:  
Advisor(s):  

Semester you entered the MS program:  

Degrees attained prior to entry to MS program:  

**Milestones**  
Please fill in the dates you have reached, or expect to reach the following milestones. Work with your advisor for the expected dates.

<table>
<thead>
<tr>
<th>Planned Completion Date</th>
<th>Actual Completion Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Witten plan approved</td>
<td></td>
</tr>
<tr>
<td>Oral plan defended</td>
<td></td>
</tr>
<tr>
<td>(thesis only)</td>
<td></td>
</tr>
<tr>
<td>Written report approved</td>
<td></td>
</tr>
<tr>
<td>Oral defense</td>
<td></td>
</tr>
</tbody>
</table>

*Comments on milestones:*

**Research Activities**  
Write a short summary of your research activities. If you have any papers that have been published, accepted or submitted to conferences or journals, list these. Also list other research activities such as software infrastructure development in this section.
Teaching Activities

1. Courses and/or Labs taught since your last performance review (attach student evaluation report for each course):

2. TA positions served since your last performance review. (attach faculty evaluation for each course):

Professional Development/Service Activities

Indicate any service activities you have engaged in. Include service to the department, the profession or the community. Examples include "gave campus tours on preview day", "participated in a poster session for undergraduates", etc.
Advisor's Comments

In your opinion, the student's progress toward degree in the past year is

_____Satisfactory
_____Needs improvement
_____Unsatisfactory

Please explain your rating and comment on the student's progress toward graduation, and his/her plan for future milestones.