

**MTU Research Advisory Council**  
**January 13, 2003 - 11:00 am**  
**Room 226 Admin**  
**Minutes**

**Members:**

Dave Reed, Vice President for Research  
Neil Hutzler, Assoc Dean, College of Engrg  
Peg Gale, Assoc Dean, SFRES  
Soner Onder, Sciences & Arts

Anita Quinn, Research & Sponsored Programs  
Julie Seppala, Research Accounting  
Joanne Polzien, VP for Research Office

1. Approval of December 9 Minutes  
The minutes for December 9, 2002 were approved.
2. Internal Guidelines for Budget Modification Requests
  - 1) where should RSP draw the line and ask tell the PI to go back to the sponsor for a modification?
  - 2) what level set to have a budget modification submitted?
  - 3) what changes may affect a department budget?

Julie and Anita would like to have a subcommittee to help set up internal guidelines to help.  
-budget category changed more than 10% (what constitutes a category-what line item level)  
-cost share is a concern - Julie would like to set up a separate cost share account  
-are dean/chairs aware that RA is not controlling this right now?  
-deans/chairs need to know how these modifications impact the department budget

\*\*What do deans/chair want to know (just mods for tuition/idc/grad students)?  
\*\*What do deans/chairs want RA to know?

Anita/Julie will send a note to prospective volunteers asking them to participate giving a brief summary of examples of the problem. Possible members are Peg Gale, Neil Hutzler, Julie Seppala, Anita Quinn, Linda Ott. The subcommittee will submit their findings to the RAC.

3. Foreign National Issues  
Several universities are taking a hard line on foreign national clauses on research. Some have returned awards and refused to accept any future money. We have no policy guidance on this issue. Dave handed out a draft policy that includes comments from Anita and Bruce - he's looking for conceptual thoughts now, not wording. Enforcement - deans/chairs area?

- 1) a policy is needed (accept/not acctpt)
- 2) enforcement (when exceptions are allowed, who enforces?)

\*What are the ramifications if the rule is not followed - could be criminal. The university won't go to jail - people do.  
\*can they ask for the dollars back?  
\*can the university commit to something it cannot enforce?  
\*Dave will run these by Mike Roggeman